

CREATING A COMPREHENSIVE YET COST-EFFECTIVE ANTI-CORRUPTION PROGRAM IS ONE OF THE MOST SIGNIFICANT CHALLENGES IN COMPLIANCE TODAY.

For more than 10 years, Dow Jones Risk & Compliance has been working with customers and regulators to help assess, investigate and monitor third parties for potential risk. During this period we have developed a portfolio of powerful databases and tools specifically designed for anti-corruption compliance.

Dow Jones's recognized strength in researching and structuring open source data, combined with its unique information assets, such as Factiva, result in high-quality data and user-friendly tools that focus compliance efforts on the real risks. That's why major corporations committed to eliminating corruption rely on Dow Jones Risk & Compliance to help them mitigate risk and safely grow their businesses.

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Risk & Compliance

In today's challenging global economy, expanding into new markets has never been more important. But at the same time, regulators have stepped up their scrutiny of overseas operations. The latest Dow Jones State of Anti-Corruption Compliance Survey found that concerns about corruption liability in foreign markets had caused over **50%** of firms to shelve business partnerships.

Why Dow Jones Risk & Compliance?

- Global database of foreign public officials and state-owned companies
- Up-to-date coverage of global sanctions and official lists
 - Seamless access to the Factiva news archive for detailed media searches
- User-friendly destination site for manual checks
 - Flexible data feed for integration into compliance applications
- Robust portal for automated third-party screening
- Detailed and cost-effective Due Diligence reports
 - Comprehensive country-risk-scoring data

Assess New **Third-Party Relationships** for Risk

- ▶ **Quickly and effectively check new business partners** for government connections with the only global database of state-owned companies and foreign public officials designed for anti-corruption purposes
- ▶ **Identify a wide array of regulatory and reputational risks** with comprehensive data on government sanctions, law enforcement lists and serious criminals
- ▶ **Improve the precision of your risk scoring** with data clearly segmented into granular categories that can individually be assigned a risk score, and a comprehensive set of country risk indicators

Investigate **Higher-Risk Relationships**

- ▶ **Conduct robust investigations into third parties** proportional to your potential risk with a variety of tools and services that match the level of detail you require
- ▶ **Demonstrate 'adequate' procedures to regulators** by ensuring your investigations are conducted against data that is legally obtained and fit-for-purpose, offering superior depth, breadth and accuracy
- ▶ **Quickly and cost-effectively conduct thorough open source due diligence on companies and individuals** by commissioning reports compiled by an experienced, multilingual research team fluent in over 55 languages

Dow Jones's portfolio of Anti-Corruption solutions is specifically designed to meet this challenge and support you at every stage of the compliance process. Our offerings deliver the highest-quality structured data within innovative solutions, easily tailored to meet your organization's needs and help you demonstrate best practice compliance to regulators.

The stakes are high – regulatory action can have significant consequences.

*Proactively monitoring and investigating all your external relationships, including suppliers, distributors, sales agents and contractors, is now best practice **and** your best defense.*

Monitor Existing Relationships

- ▶ **Automatically and systematically monitor all third-party relationships** with technology-agnostic data structured for easier customization and integration into your compliance applications
- ▶ **Proactively spot new risks** by viewing alerts via Dow Jones Risk & Compliance online tools or directly within your own compliance environment
- ▶ **Enforce, manage and verify a consistent approach to compliance across the enterprise** with a state-of-the-art Web platform that provides up-to-date overviews of third-party risk, the status of your compliance tasks and an automated audit trail

Data and Products to Address Every Need

- ▶ Corporations seeking the best possible protection from third-party risk trust **Dow Jones Anti-Corruption** for timely, accurate global risk data, robust look-up and monitoring tools, and comprehensive audit capabilities.
- ▶ High-risk global relationships can be thoroughly investigated with **Dow Jones Due Diligence** reports, delivered within five working days and compiled by a dedicated global network of research specialists fluent in over 55 languages.
- ▶ Global organizations that need to identify, manage and define country risk criteria rely on **Dow Jones Jurisdiction Risk** to help them apply their own risk-scoring methodology into country scoring applications and ensure it is always up-to-date.

Dow Jones Risk & Compliance provides today's compliance professional with advanced data solutions for Anti-Corruption, Anti-Money Laundering and Payments compliance, giving them fully customizable products and services that will reduce risk from every angle.

Our Product Suite

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Anti-Corruption

High-quality, accurate and comprehensive risk data for use in identifying, evaluating and monitoring third-party corruption risk. Global data includes foreign public officials, state-owned companies, government sanctions lists and high-profile criminals.

DELIVERY AND ACCESS OPTIONS:

Online – A secure and easy-to-use research tool for manual investigations of third parties against global anti-corruption data and the Factiva global news archive.

Portal – A robust anti-corruption workflow application that enables companies to easily identify potential risk in their relationships, monitoring thousands of companies simultaneously, and to order detailed Dow Jones Due Diligence reports.

Feed – A highly-structured and flexible feed of corruption-related risk data, including state-owned companies, for integration into commercial and internal compliance applications.

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Due Diligence

Detailed and cost-effective Due Diligence reports of meticulous quality compiled by a global team of multilingual research experts. Our Due Diligence reports contain only legally obtained information from trusted sources in the public domain. Basic reports include multilingual media research as standard.

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Jurisdiction Risk

A comprehensive set of country risk indicators for integration into country-risk-scoring programs. Indicators include Corruption Perceptions Index ranking, membership in international organizations and country sanctions.

